



# Generative Model Variants

-- *GLO, IMLE, GLANN*

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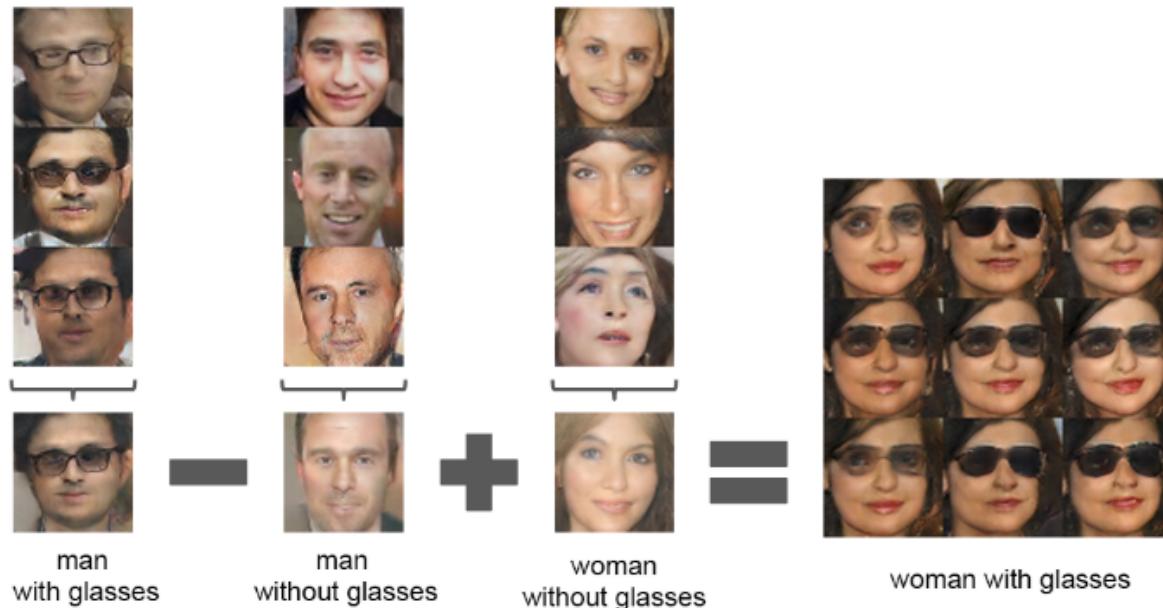
## Generative Model Variants -- GLO, IMLE, GLANN

- Generative Latent Optimisation, GLO
- Implicit Maximum Likelihood Estimation, IMLE
- Generative Latent Nearest Neighbors, GLANN
- Discussion

## Recap: DCGAN

- Properties of DCGAN

- Generation from noise: synthesis new images from data distribution
- Interpolation: translate linear interpolation in noise space to semantic interpolation in image
- Linear operation: linear arithmetic in noise space



## Recap: DCGAN

- Properties of DCGAN

- Mode collapse: generator may model only a few localised regions of data distribution
- Adversarial training: unstable for training, sensitive to initialisation, architecture and hyper parameters

- GLO
- IMLE
- GLANN

} Aim at solving major problems in GANs to develop NEW generative models.



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# Generative Latent Optimisation (GLO)

“Success of GANs comes from:

- Inductive bias of deep convolutional networks
- The adversarial training protocol ”

**GLO:** aims at preserving good properties of GAN, without adversarial training and mode collapse

**Approach:**

- Image space  $\mathcal{X}$ , noise space  $\mathcal{Z} \subset \mathbb{R}^d$ . Training set of images  $\{x_1, \dots, x_N\}$ .
- For each image  $x_i$ , take a random noise  $z_i \in \mathcal{Z}$ .
- Construct all the pairs:  $\{(z_i, x_i)\}_{i=1}^N$
- Target is to learn the mapping  $g_\theta: \mathcal{Z} \rightarrow \mathcal{X}$ , where each training image  $x_i$  is mapped from its noise  $z_i$

# Generative Latent Optimisation (GLO)

## Approach:

- Parameterize a generator  $g_\theta: \mathcal{Z} \rightarrow \mathcal{X}$  using CNN
- Initialize  $\theta, \{z_i\}$
- Training objective:

$$\min_{\theta} \sum_{i=1}^N [\min_{z_i \in \mathcal{Z}} \ell(g_\theta(z_i), x_i)]$$

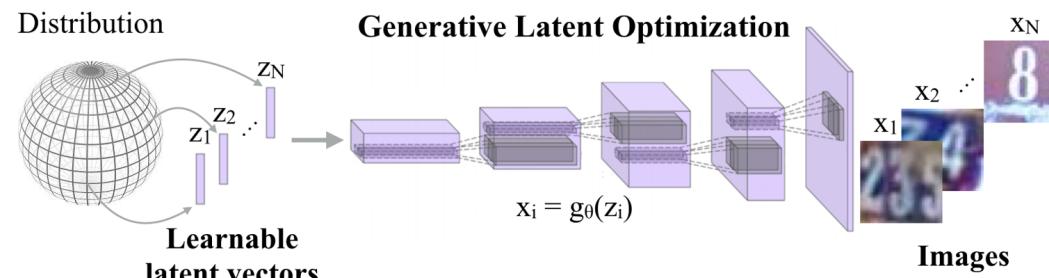
## Notice:

- Noise  $z_i$  are learnable, jointly optimised with  $\theta$ , to form the structure of the noise space.
- It is like a “non-parameterized autoencoder”.

# Generative Latent Optimisation (GLO)

## Noise space

- A common choice of  $\mathcal{Z}$  in the GANs literature is unit Gaussian distribution from  $\mathbb{R}^d$
- $$z \sim \mathcal{N}(0, I)$$
- $z$  drawn from unit Gaussian distribution is unlikely to land far outside the sphere of radius  $\sqrt{d}$ , i.e. with high probability,  $\|z\|_2 \in (\sqrt{d} - \varepsilon, \sqrt{d} + \varepsilon)$ .
  - Instead of using Gaussian, GLO use the unit sphere as the noise space.



<https://blog.csdn.net/benboen>



# Generative Latent Optimisation (GLO)

## Noise space

**Think:** why not using a Gaussian distribution?

Because in the optimisation objective, the noise vectors need to be optimised. It is easy to constrain them into a sphere, by optimising and projecting them back to the sphere for each step.

# Generative Latent Optimisation (GLO)

## Loss function

- Simple choice: squared error

$$\ell_2(x, x') = \|x - x'\|_2^2$$

- Leads to blurry reconstructions of natural images (moving to the average)
- GANs generate sharp image, because they use a CNN (discriminator) as the loss function, whose early layers focus on edges.
- GLO uses Laplacian pyramid loss for sharp reconstruction.

# Generative Latent Optimisation (GLO)

## Loss function

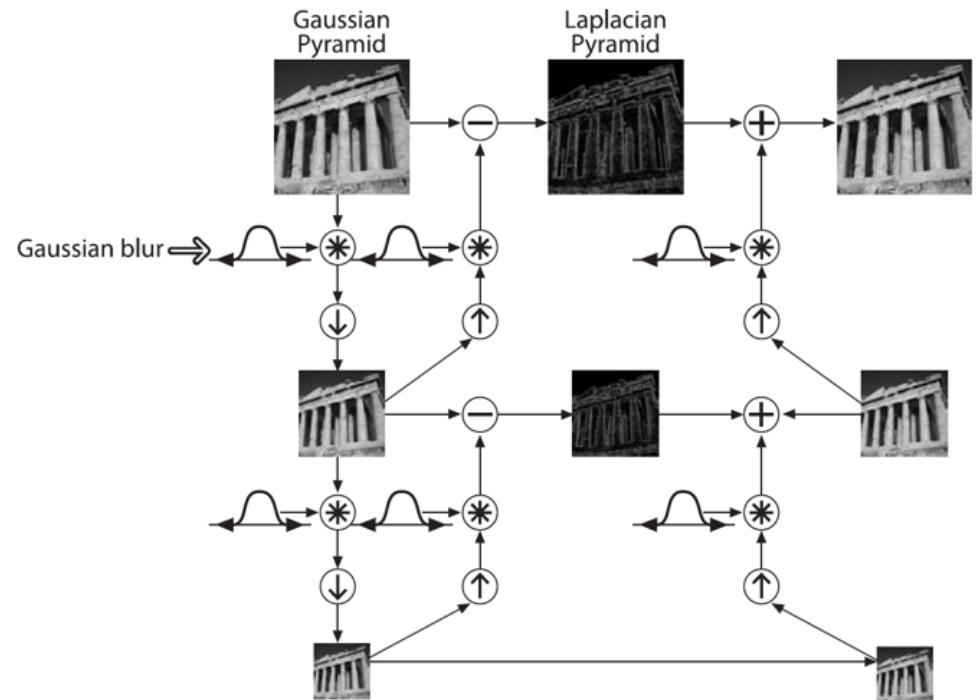
- Laplacian pyramid loss:

$$Lap(x, x') = \sum_j 2^{2j} |L^j(x) - L^j(x')|_1$$

- $L^j$  is the  $j^{th}$  level residual in the image's Laplacian pyramid, which is the residual between the  $j^{th}$  level image and the upsampled  $j + 1^{th}$  level, reflecting the details lost during upsampling.

$$L^j(x) = G^j(x) - \text{Upsample}(G^{j+1}(x))$$

$G^j$  is the  $j^{th}$  downsampled image in the Gaussian pyramid.

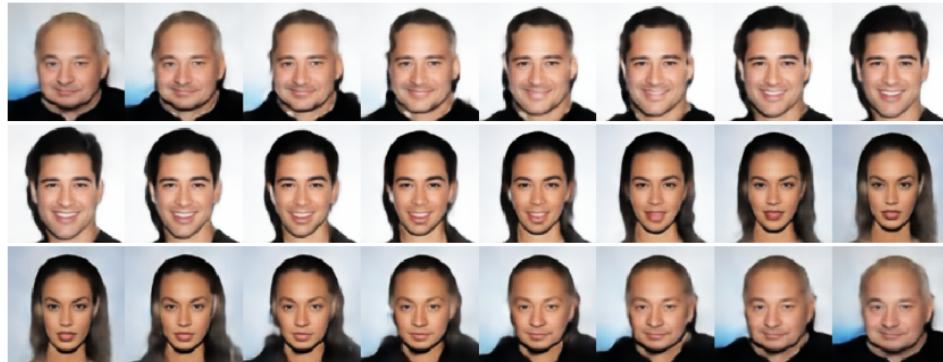


# Generative Latent Optimisation (GLO)

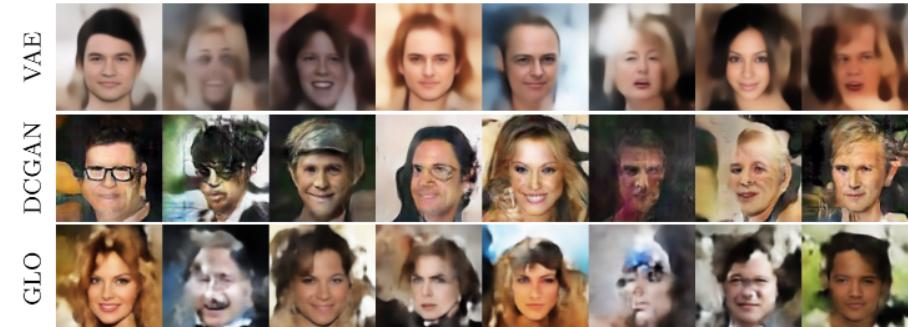
## Discussion

- Generation
  - Distribution of noise on the unit sphere is unknown
  - Need to fit another (simple) model to map a known distribution to the noise distribution
  - E.g. fit a full-covariance Gaussian distribution
- Properties of latent interpolation & linear operation are preserved.
- Mode Collapse
  - Issue of mode collapse is addressed, because all training samples are embedded in the noise space.

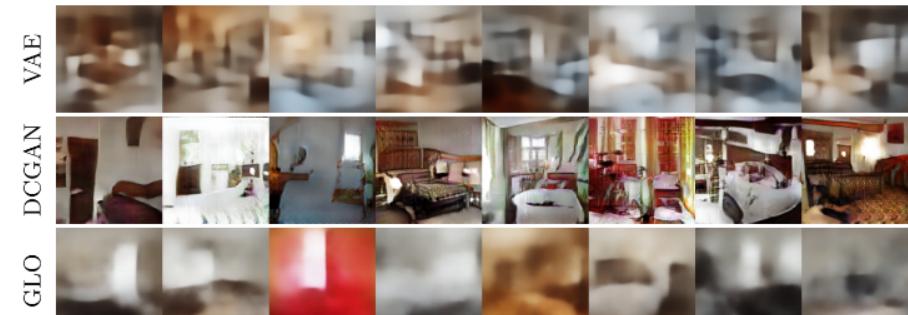
# Generative Latent Optimisation (GLO)



- Nice result on interpolation for images in the training set.
- GLO cannot outperform DCGAN on image generation.
  - Noise space for GLO is more like a feature embedding space, not for sampling.



(d) CelebA-128



(f) LSUN-128



- Generative Latent Optimisation, GLO
- **Implicit Maximum Likelihood Estimation, IMLE**
- Generative Latent Nearest Neighbors, GLANN
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# Implicit Maximum Likelihood Estimation (IMLE)

## Recall

- Generative models aim at model the data distribution  $x \sim p_{data}$
- A common approach is to
  - sample from a known distribution

$$z \sim q(z)$$

- then use a parametric function to map the known distribution to the data distribution
$$x = G_\theta(z)$$
- Denote the learned distribution  $x \sim p_\theta$

# Implicit Maximum Likelihood Estimation (IMLE)

## Recall

- Maximum likelihood estimation (MLE)
  - Maximise the probability of  $p_\theta$  to generate the data sampled from  $p_{data}$
- MLE is equivalent to minimising KL divergence between two distributions

$$\min_{\theta} D_{KL}(p_{data} || p_{\theta}) = \min_{\theta} \int_x p_{data} \log\left(\frac{p_{data}}{p_{\theta}}\right) dx$$

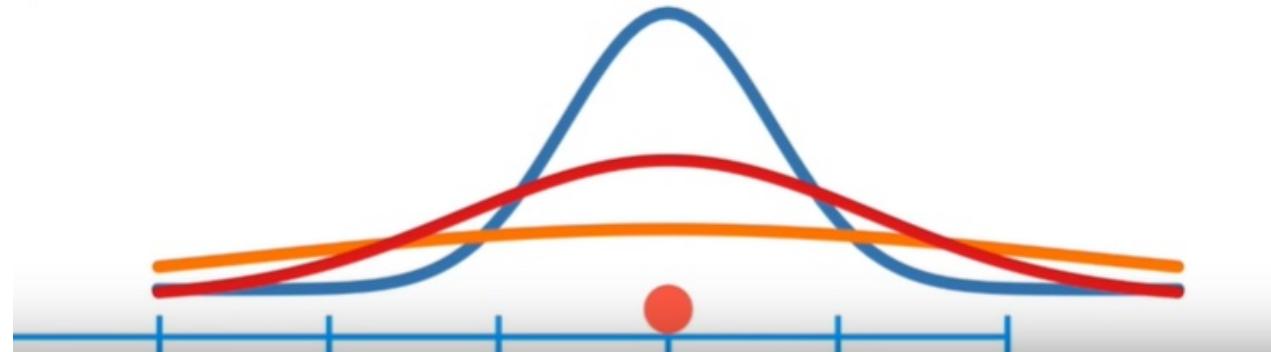
- However, the probability density function of  $p_\theta$  is always intractable

$$p_{\theta}(x) = \frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_d} \int_{\{z | \forall i, (G_{\theta}(z))_i \leq x_i\}} q(z) dz$$

# Implicit Maximum Likelihood Estimation (IMLE)

## Intuition

- MLE should assign high probability density to each of the samples



- We can adjust the parameter  $\theta$  so that samples drawn from  $p_\theta$  are close to samples drawn from  $p_{data}$

# Implicit Maximum Likelihood Estimation (IMLE)

## Algorithm

**Require:** The dataset  $D = \{\mathbf{x}_i\}_{i=1}^n$  and a sampling mechanism for the implicit model  $P_\theta$

Initialize  $\theta$  to a random vector

**for**  $k = 1$  **to**  $K$  **do**

    Draw i.i.d. samples  $\tilde{\mathbf{x}}_1^\theta, \dots, \tilde{\mathbf{x}}_m^\theta$  from  $P_\theta$

    Pick a random batch  $S \subseteq \{1, \dots, n\}$

$\sigma(i) \leftarrow \arg \min_j \|\mathbf{x}_i - \tilde{\mathbf{x}}_j^\theta\|_2^2 \quad \forall i \in S$

**for**  $l = 1$  **to**  $L$  **do**

        Pick a random mini-batch  $\tilde{S} \subseteq S$

$\theta \leftarrow \theta - \eta \nabla_\theta \left( \frac{n}{|\tilde{S}|} \sum_{i \in \tilde{S}} \|\mathbf{x}_i - \tilde{\mathbf{x}}_{\sigma(i)}^\theta\|_2^2 \right)$

**end for**

**end for**

**return**  $\theta$

# Implicit Maximum Likelihood Estimation (IMLE)

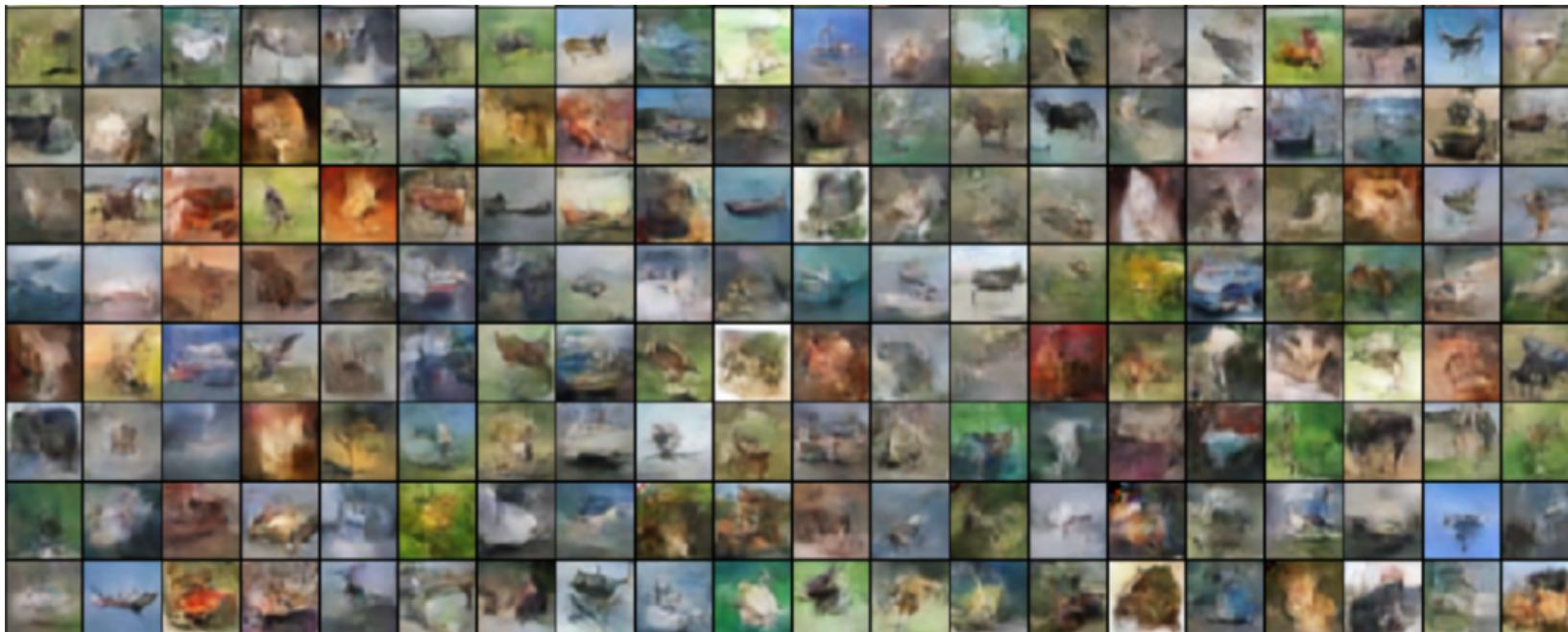
## Algorithm analysis

- Mode collapse is addressed, because the training process encourages the generated samples to be close to all the samples in training data.
- The training objective is a simple  $l_2$  loss. It is easy to optimise.
- Distance measurement to compute the nearest neighbor and to optimise is critical.  $L_2$  distance used in paper leads to generating blur images.

# Implicit Maximum Likelihood Estimation (IMLE)

## Algorithm analysis

- $L_2$  distance used in paper leads to blur results.



IMLE trained on cifar-10.

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical analysis

Why does this simple algorithm work?

- IMLE has a theoretical proof, showing the algorithm is equivalent to MLE.

**Recap:**

- $z \sim q(z)$
- $x = G_\theta(z)$
- $p_\theta(x) = \frac{\partial}{\partial x_1} \cdots \frac{\partial}{\partial x_d} \int_{\{z | \forall i, (G_\theta(z))_i \leq x_i\}} q(z) dz$
- MLE Objective:  $\min_{\theta} D_{KL}(p_{data} || p_\theta)$

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

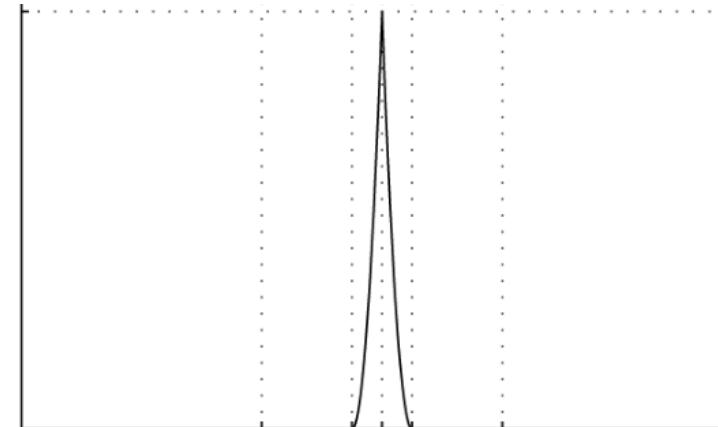
**Lemma :**  $p_\theta(x) = \int \delta(x - G(z))q(z)dz$ , where  $\delta$  is the Dirac function.

Dirac  $\delta$  function is a generalised function satisfies:

$$\delta(x) = \begin{cases} 0, & x \neq 0 \\ \infty, & x = 0 \end{cases}$$

$$\int_{-\infty}^{+\infty} \delta(x) dx = 1$$

Property: for any function  $f$ ,  $\int_{-\infty}^{+\infty} f(x + y)\delta(x) dx = f(y)$



# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

**Lemma :**  $p_\theta(x) = \int \delta(x - G(z))q(z)dz$ , where  $\delta$  is the Dirac function.

Proof:

$$\begin{aligned}
 & \int_{-\infty}^x dt \int \delta(t - G(z))q(z)dz \\
 &= \int \left\{ q(z)dz \int_{-\infty}^x \delta(t - G(z)) dt \right\} \\
 &= \int \left\{ q(z)dz I\left[\forall i, (G_\theta(z))_i < (x)_i\right] \right\} \\
 &= \int_{\{z|\forall i, (G_\theta(z))_i \leq x_i\}} q(z)dz \\
 &= \int_{-\infty}^x p_\theta(x)dx
 \end{aligned}$$

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

$$p_\theta(x) = \int \delta(x - G(z))q(z)dz = \mathbb{E}_{z \sim q(z)}[\delta(x - G(z))]$$

Dirac function is also a limit of isotropic Gaussian distribution:

$$\delta(x) = \lim_{\sigma \rightarrow 0} \frac{1}{(2\pi\sigma^2)^{d/2}} \exp\left(-\frac{\|x\|^2}{2\sigma^2}\right)$$

Then,

$$p_\theta(x) = \lim_{\sigma \rightarrow 0} \mathbb{E}_{z \sim q(z)} \left[ \frac{1}{(2\pi\sigma^2)^{\frac{d}{2}}} \exp\left(-\frac{\|x - G(z)\|^2}{2\sigma^2}\right) \right]$$

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

MLE Objective:

$$\begin{aligned}
 & \underset{\theta}{\text{minimise}} D_{KL}(p_{data} || p_{\theta}) \\
 \Leftrightarrow & \underset{\theta}{\text{minimise}} \int_x p_{data} \log\left(\frac{p_{data}}{p_{\theta}}\right) dx \\
 \Leftrightarrow & \underset{\theta}{\text{minimise}} \mathbb{E}_{x \sim p_{data}(x)}[-\log(p_{\theta})] \\
 \Leftrightarrow & \underset{\theta}{\text{minimise}} \mathbb{E}_{x \sim p_{data}(x)} \left[ -\log \left\{ \lim_{\sigma \rightarrow 0} \mathbb{E}_{z \sim q(z)} \left[ \frac{1}{(2\pi\sigma^2)^{\frac{d}{2}}} \exp\left(-\frac{\|x - G(z)\|^2}{2\sigma^2}\right) \right] \right\} \right] \\
 \Leftrightarrow & \underset{\theta}{\text{minimise}} \lim_{\sigma \rightarrow 0} \mathbb{E}_{x \sim p_{data}(x)} \left[ -\log \left\{ \mathbb{E}_{z \sim q(z)} \left[ \exp\left(-\frac{\|x - G(z)\|^2}{2\sigma^2}\right) \right] \right\} \right]
 \end{aligned}$$

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

### MLE Objective

- In practice, we sample the data from distribution to approximate the expectation
- Suppose  $x_1, x_2, \dots, x_M$  are drawn from  $p_{data}$  (training data);  $z_1, z_2, \dots, z_N$  are drawn from  $q(z)$

$$\begin{aligned} & \underset{\theta}{\text{minimise}} \lim_{\sigma \rightarrow 0} \mathbb{E}_{x \sim p_{data}(x)} \left[ -\log \left\{ \mathbb{E}_{z \sim q(z)} \left[ \exp \left( -\frac{\|x - G(z)\|^2}{2\sigma^2} \right) \right] \right\} \right] \\ \Leftrightarrow & \underset{\theta}{\text{minimise}} \lim_{\sigma \rightarrow 0} -\frac{1}{M} \sum_{i=1}^M \log \left\{ \sum_{j=1}^N \exp \left( -\frac{\|x_i - G(z_j)\|^2}{2\sigma^2} \right) \right\} \end{aligned}$$

# Implicit Maximum Likelihood Estimation (IMLE)

## Theoretical Analysis

MLE Objective

$$\underset{\theta}{\text{minimise}} \lim_{\sigma \rightarrow 0} -\frac{1}{M} \sum_{i=1}^M \log \left\{ \sum_{j=1}^N \exp \left( -\frac{\|x_i - G(z_j)\|^2}{2\sigma^2} \right) \right\}$$

$$\Leftrightarrow \underset{\theta}{\text{minimise}} - \sum_{i=1}^M \max_{j=1}^N \left\{ -\|x_i - G(z_j)\|^2 \right\}$$

$$\Leftrightarrow \underset{\theta}{\text{minimise}} \sum_{i=1}^M \min_{j=1}^N \left\{ \|x_i - G(z_j)\|^2 \right\}$$

Minimise the nearest neighbor distance

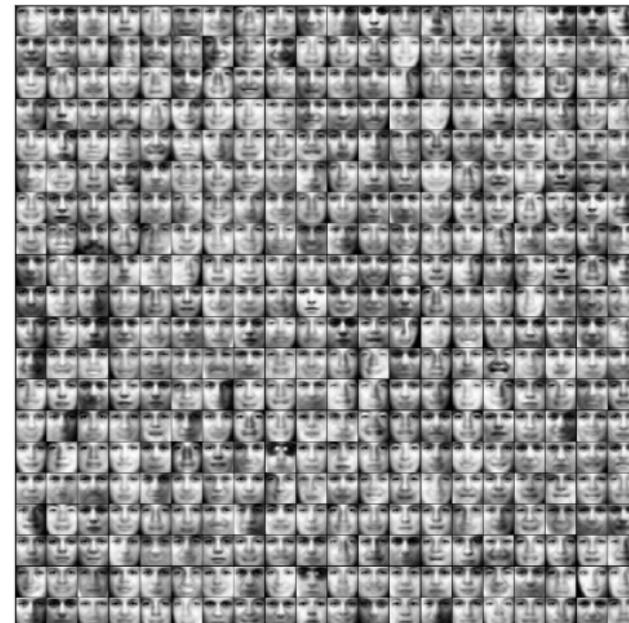
# Implicit Maximum Likelihood Estimation (IMLE)

## Results

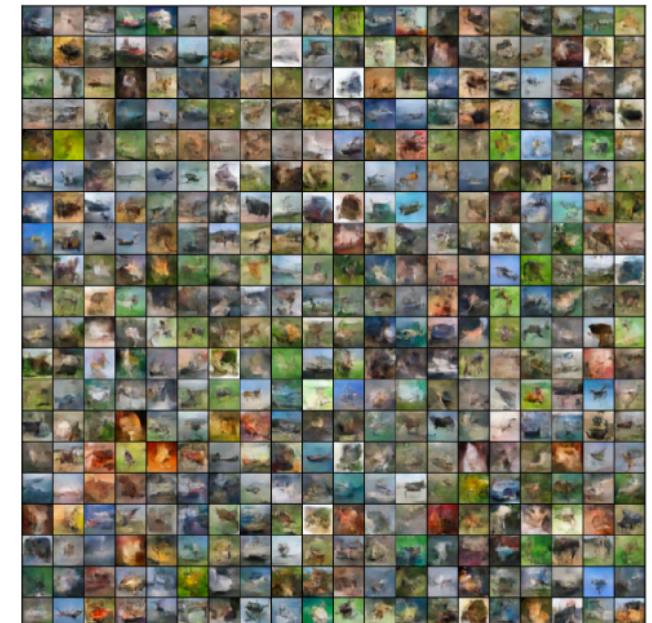
- $L_2$  distance leads to **blur images**.

4	6	0	8	1	8	8	0	3	5	8	5	3	9	9	8	4	5	9	6
6	5	3	9	7	0	7	4	9	5	9	9	3	2	1	5	7	4	5	6
5	3	6	6	8	1	8	0	3	7	6	9	5	3	4	2	0	5	5	0
3	4	9	7	5	0	7	8	9	0	4	0	1	0	8	0	6	9	5	5
8	0	8	6	7	2	3	8	3	4	8	7	7	8	0	5	6	8	7	3
6	8	9	9	5	2	1	8	8	8	8	8	5	9	8	0	6	4	4	4
5	8	3	4	5	9	6	8	4	5	9	9	9	0	8	0	3	0	3	5
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7	3	0	0	6	8	6	1	5	0	4	9	9	3	9	6	8	4	8	0
6	2	0	8	0	8	8	9	9	8	7	4	8	6	9	8	3	6	2	0
3	8	3	6	0	2	0	8	9	8	9	8	9	8	9	6	8	0	9	4
1	9	0	4	6	8	5	3	4	9	0	8	2	0	8	6	8	9	2	0
9	4	6	6	5	9	6	5	9	0	0	5	7	0	9	#	2	8	8	0
9	1	9	2	8	1	9	5	2	1	0	9	3	7	7	3	3	0	7	3
8	8	0	9	1	8	7	9	4	0	5	5	8	1	0	0	5	2	8	9
6	5	0	9	9	3	3	1	4	3	8	8	2	1	2	8	9	8	9	3
0	8	3	4	6	5	8	8	7	8	6	5	3	4	5	8	2	0	0	8
8	2	8	8	9	2	7	5	0	8	7	6	5	9	6	6	9	4	6	8
4	4	9	7	7	6	7	6	2	9	9	7	9	3	4	9	5	9	8	6

(a) MNIST



(b) TFD



(c) CIFAR-10



- Generative Latent Optimisation, GLO
- Implicit Maximum Likelihood Estimation, IMLE
- **Generative Latent Nearest Neighbors, GLANN**
- Discussion

# Generative Latent Nearest Neighbors (GLANN)

## Main Drawbacks of GLO and IMLE

- GLO
  - The distribution of noise space  $Z$  is unknown.
  - There is no principled way to sample new images.
  - Fitting Gaussian to the noise distribution in the paper does not synthesis high quality images.
- IMLE
  - IMLE is sensitive to the distance metric used.
  - Using  $L_2$  distance on image pixel space causes blurry synthesised images.
  - Computing nearest neighbour for each sample in the image space is costly.

# Generative Latent Nearest Neighbors (GLANN)

## Approach

- Linear arithmetic in GLO's noise space can semantically manipulate the image.
- That means Euclidean metric in GLO's noise space is semantically meaningful.
- Why not use GLO to learn a good latent distribution, then apply IMLE to sample from noise?

# Generative Latent Nearest Neighbors (GLANN)

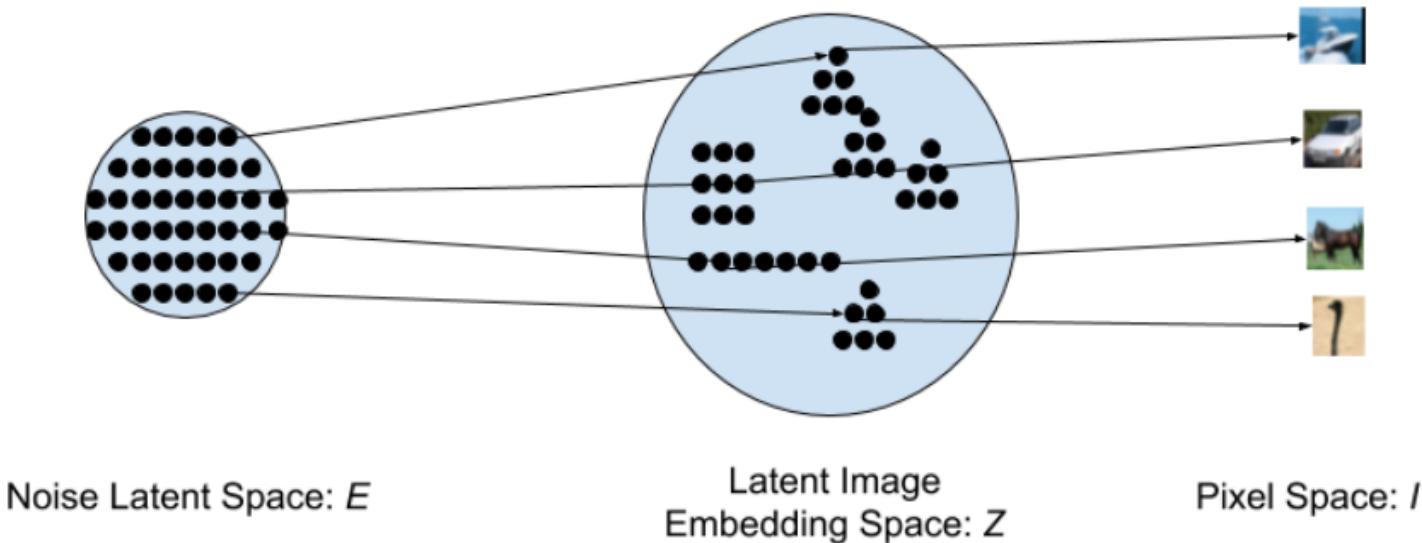
Approach

Stage 2: IMLE learns e to z

Stage 1: GLO learns z to X

Noise to Latent  
Mapping:  
 $T(e)$

Latent to Image  
Mapping:  
 $G(z)$



# Generative Latent Nearest Neighbors (GLANN)

## Approach

- Stage 1: Latent2Image using GLO
  - $z \mapsto x$
  - Use VGG perceptual loss function

$$\arg \min_{\tilde{G}, \{z_i\}} \sum_i \ell_{\text{perceptual}} \left( \tilde{G}(z_i), x_i \right) \quad \text{s.t.} \quad \|z_i\| = 1$$

- Stage 2: Noise2Latent using IMLE
  - $e \mapsto z$
  - Using Euclidean distance in the latent space
- Sampling new images
  - $e \sim N(0, I)$
  - $e \mapsto z \mapsto x$

# Generative Latent Nearest Neighbors (GLANN)

## Approach

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$$\tilde{z}_m = T(e_m)$$

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$$e_t = \arg \min_{e_m} \|z_t - T(e_m)\|_2^2$$

$$T = \arg \min_{\tilde{T}} \sum_t \left\| z_t - \tilde{T}(e_t) \right\|_2^2$$

- Sampling new images
  - $e \sim N(0, I)$
  - $e \mapsto z \mapsto x$

# Generative Latent Nearest Neighbors (GLANN)

## Approach

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# Generative Latent Nearest Neighbors (GLANN)

## Results

IMLE



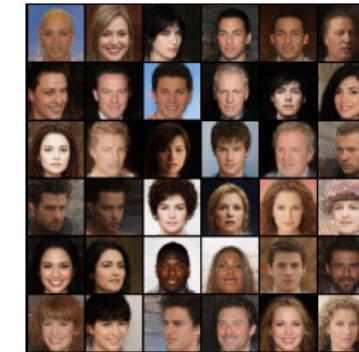
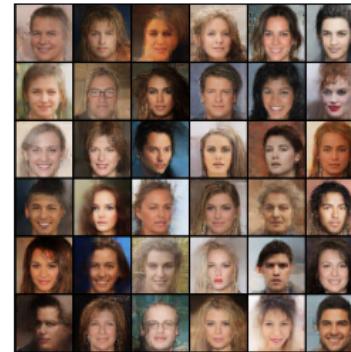
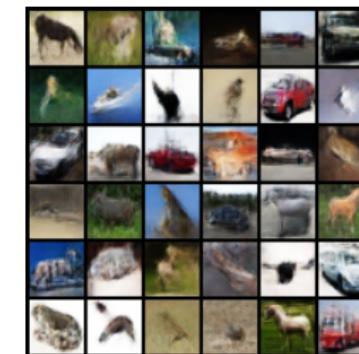
GLO



GAN



GLANN



Hoshen, Y., Li, K., Malik, J., & Berkeley, U. C. (2019). GLANN: Non-Adversarial Image Synthesis with Generative Latent Nearest Neighbors.

# Generative Latent Nearest Neighbors (GLANN)

## Results



Interpolation on CelebA-HQ 1024\*1024



Generating 3D data



- Generative Latent Optimisation, GLO
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## Discussion: What is the Ideal Generative Model?

- Explicit inverse/encode  $E: x \rightarrow z, G: z \rightarrow x$
- Interpolation in latent space (prior distribution)
- Avoid mode collapse
- Fast training
- Generate high-dimensional, high-quality data
- Disentanglement
- ...

## Summary



- Generative Latent Optimisation, GLO 2018
- Implicit Maximum Likelihood Estimation, IMLE 2018
- Generative Latent Nearest Neighbors, GLANN 2019
- Discussion



# Thanks