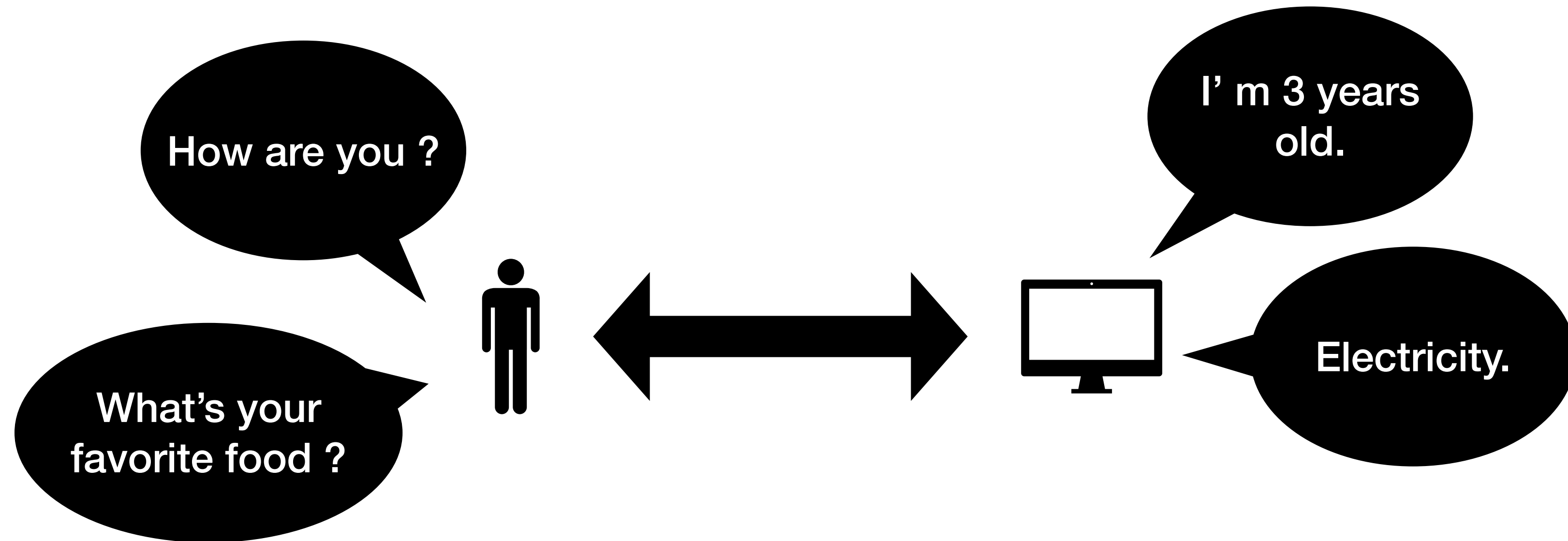


# Paper Reading

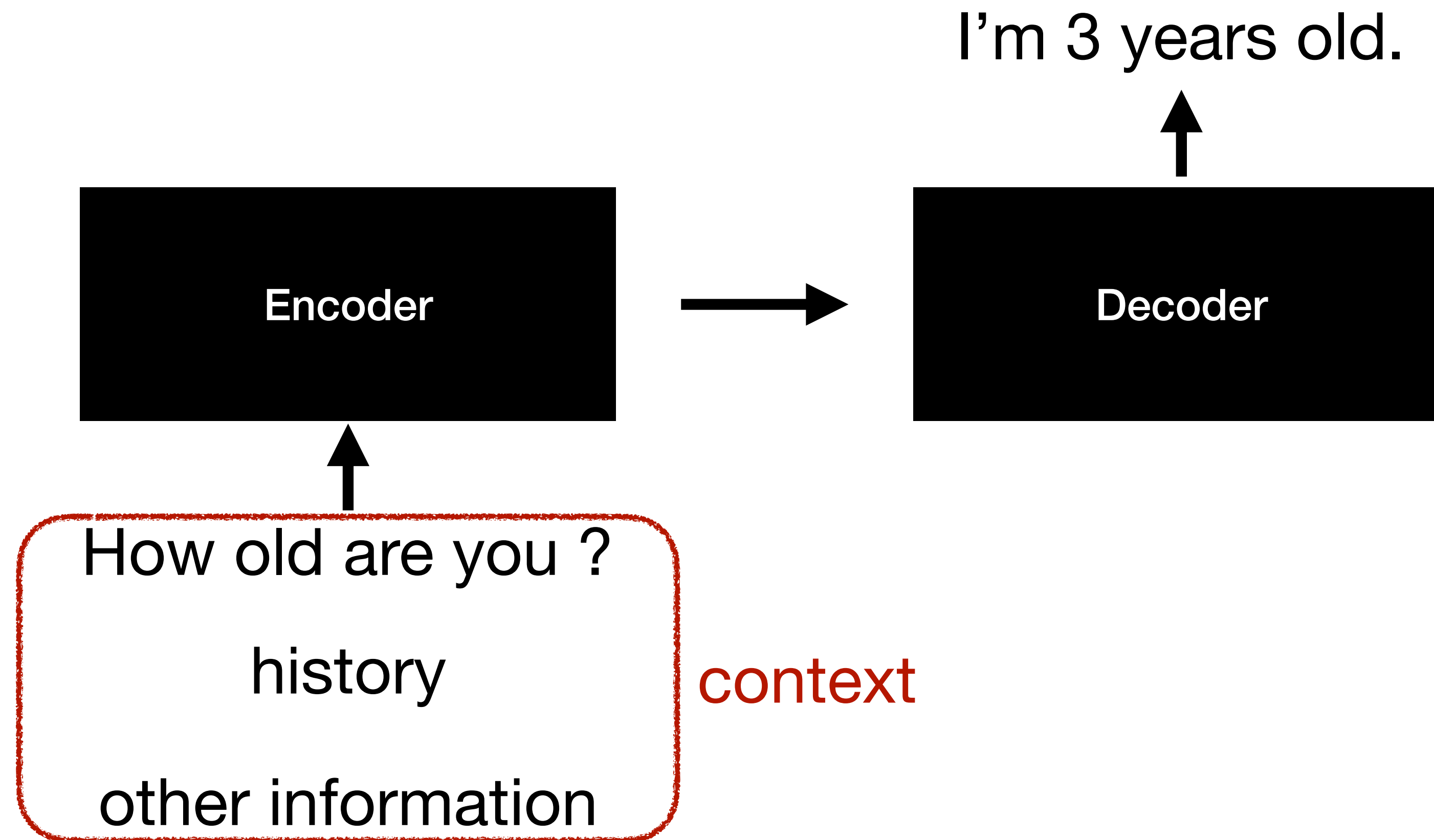
**Learning Discourse-level Diversity for Neural Dialog Models using Conditional Variational Autoencoders, ACL 2017**

沈心怡 1801110049

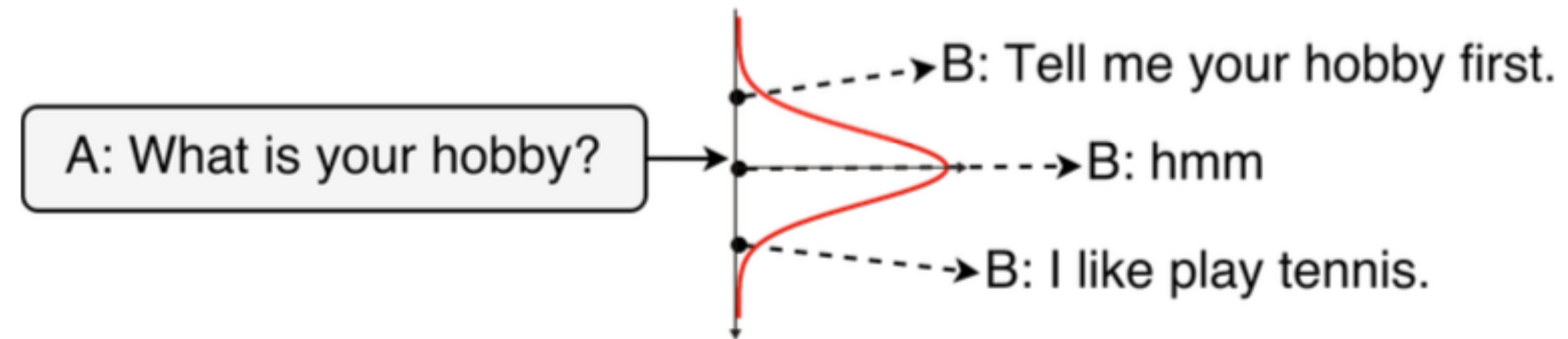
# Dialog model ?



# Baseline



# Problem



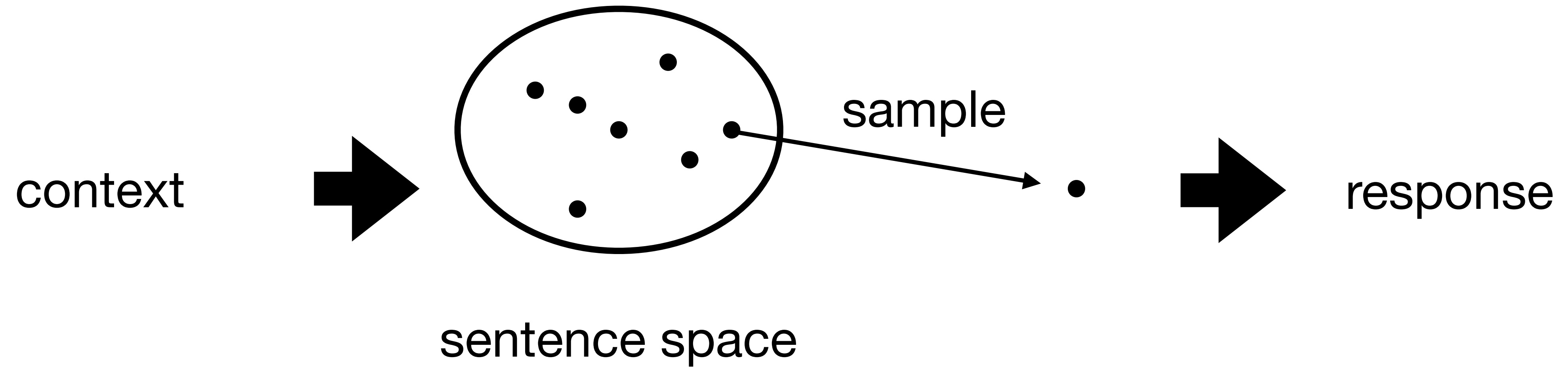
one-to-many

Seq2Seq:

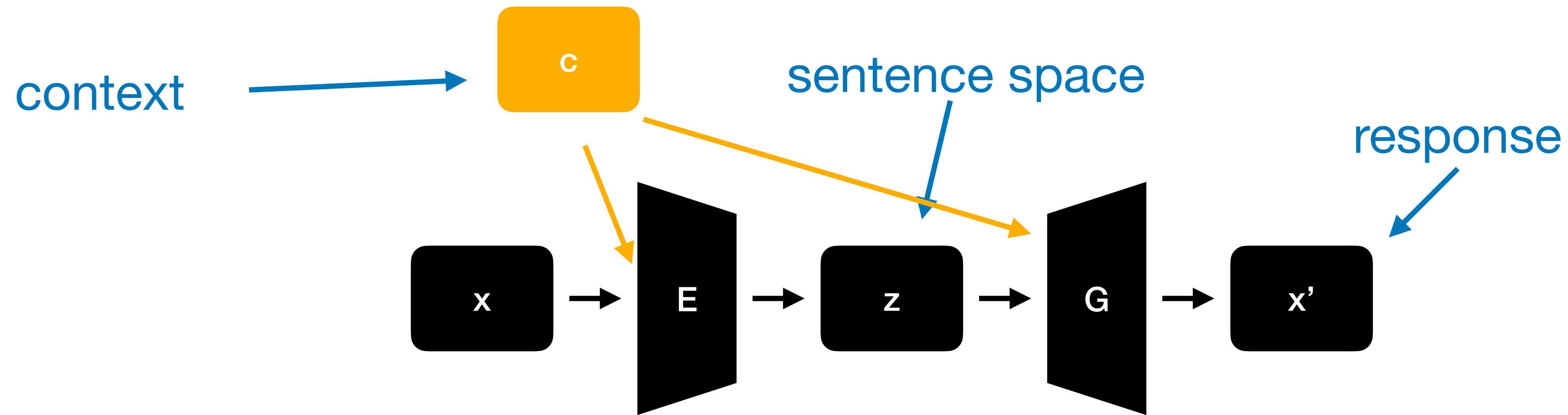
The model tends to generate safe answers, like:

I don't know.[1]

# Solution



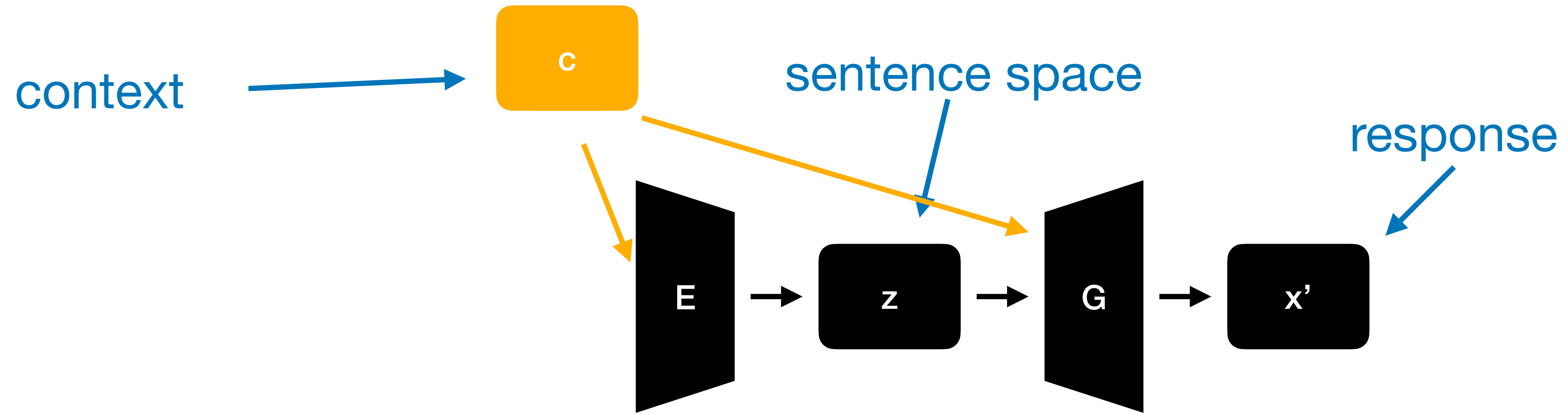
# CVAE for Dialog Generation: Training



$$\log P(x) - \mathcal{D}[Q(z|x)||P(z|x)] = E_{z \sim Q}[\log P(x|z)] - \mathcal{D}[Q(z|x)||P(z)]$$

$$\log P(x|c) - \mathcal{D}[Q(z|x,c)||P(z|x,c)] = E_{z \sim Q(x,c)}[\log P(x|z,c)] - \mathcal{D}[Q(z|x,c)||P(z|c)]$$

# CVAE for Dialog Generation: Inference



# Knowledge-Guided CVAE (kgCVAE)

dialog act

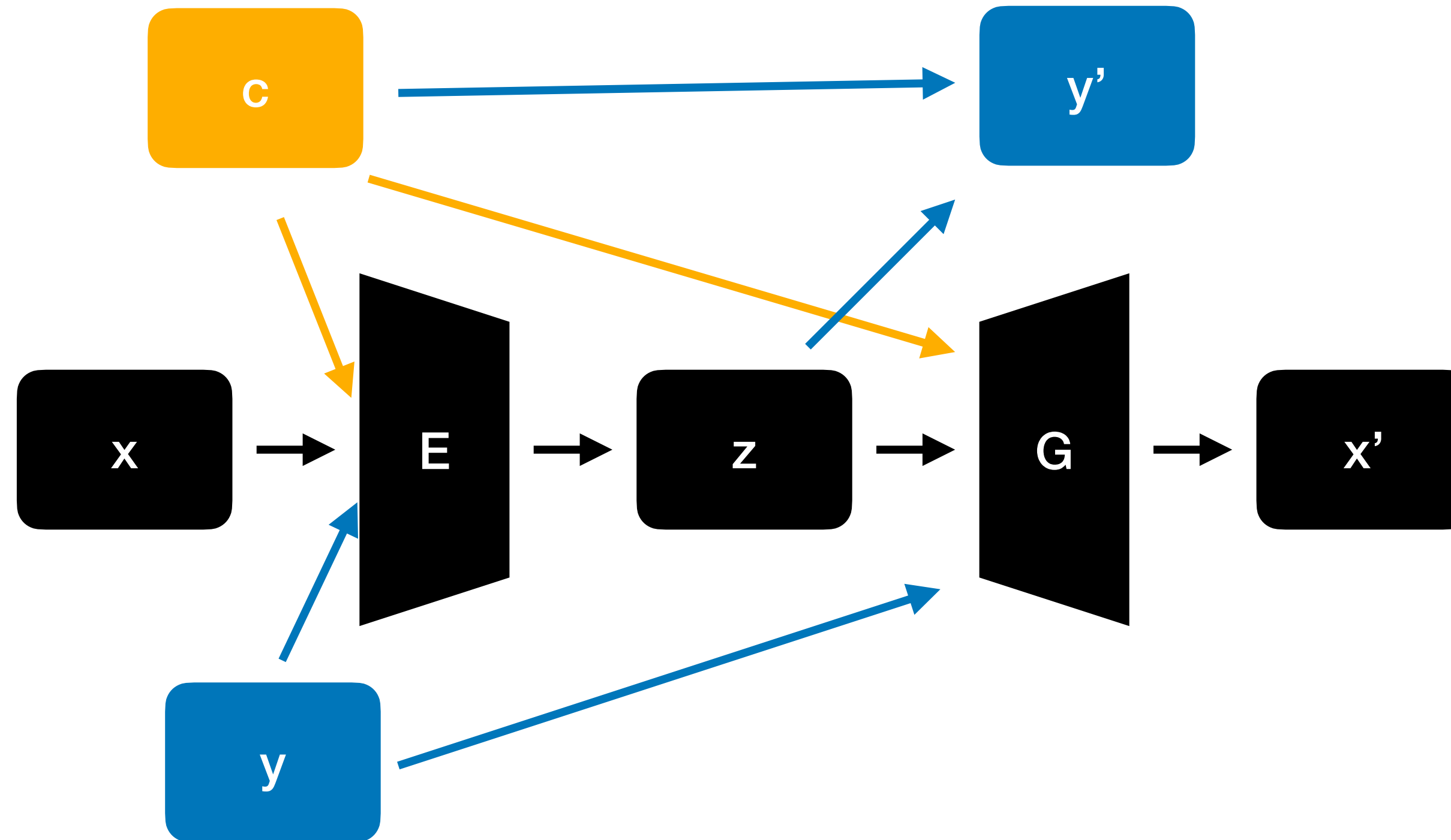
context →

1. (non-understand) pardon
2. (statement) oh you're not going to have a curbside pick up here
3. (statement) okay I am sure about a recycling center
4. (yes-answer) yeah so





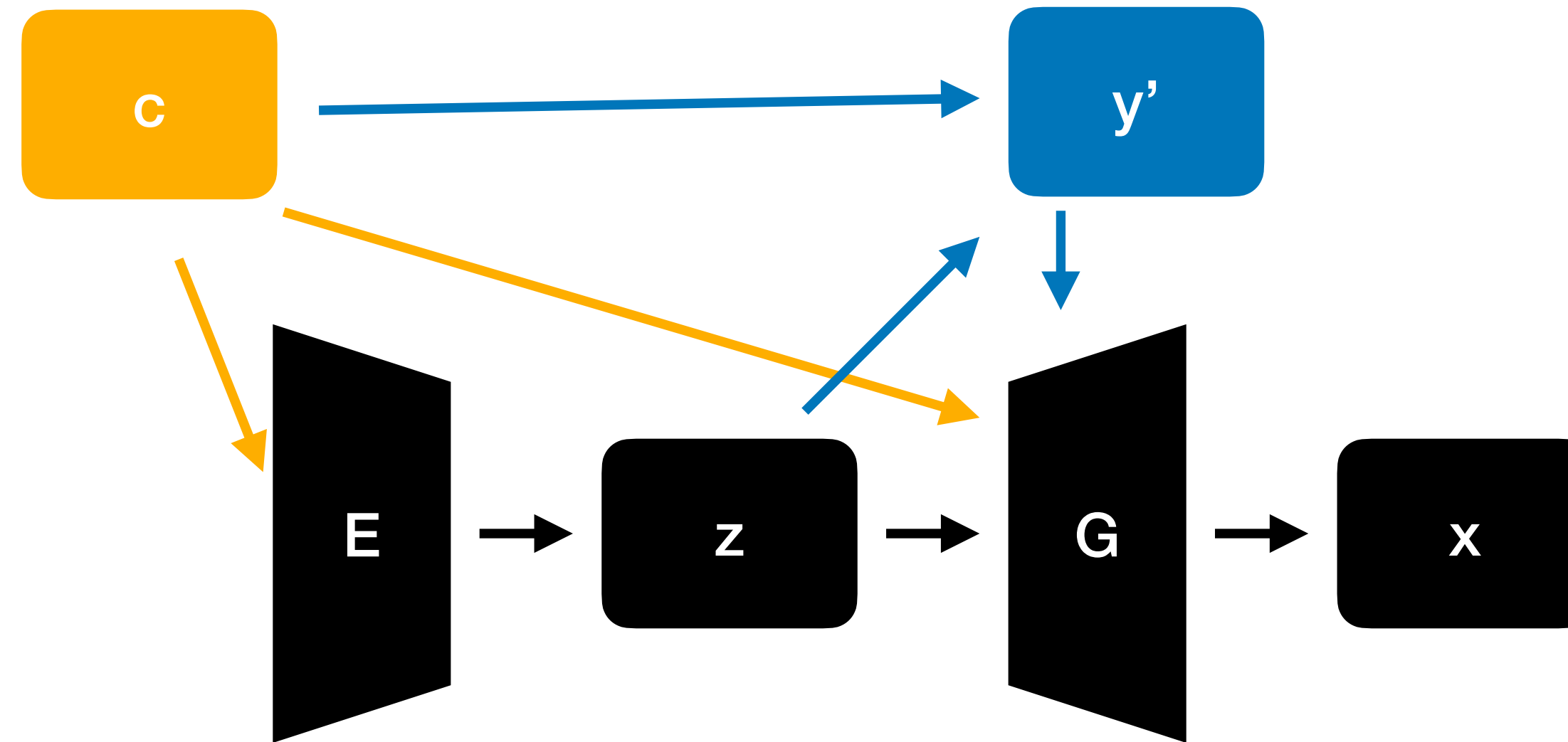
# Knowledge-Guided CVAE (kgCVAE): Training



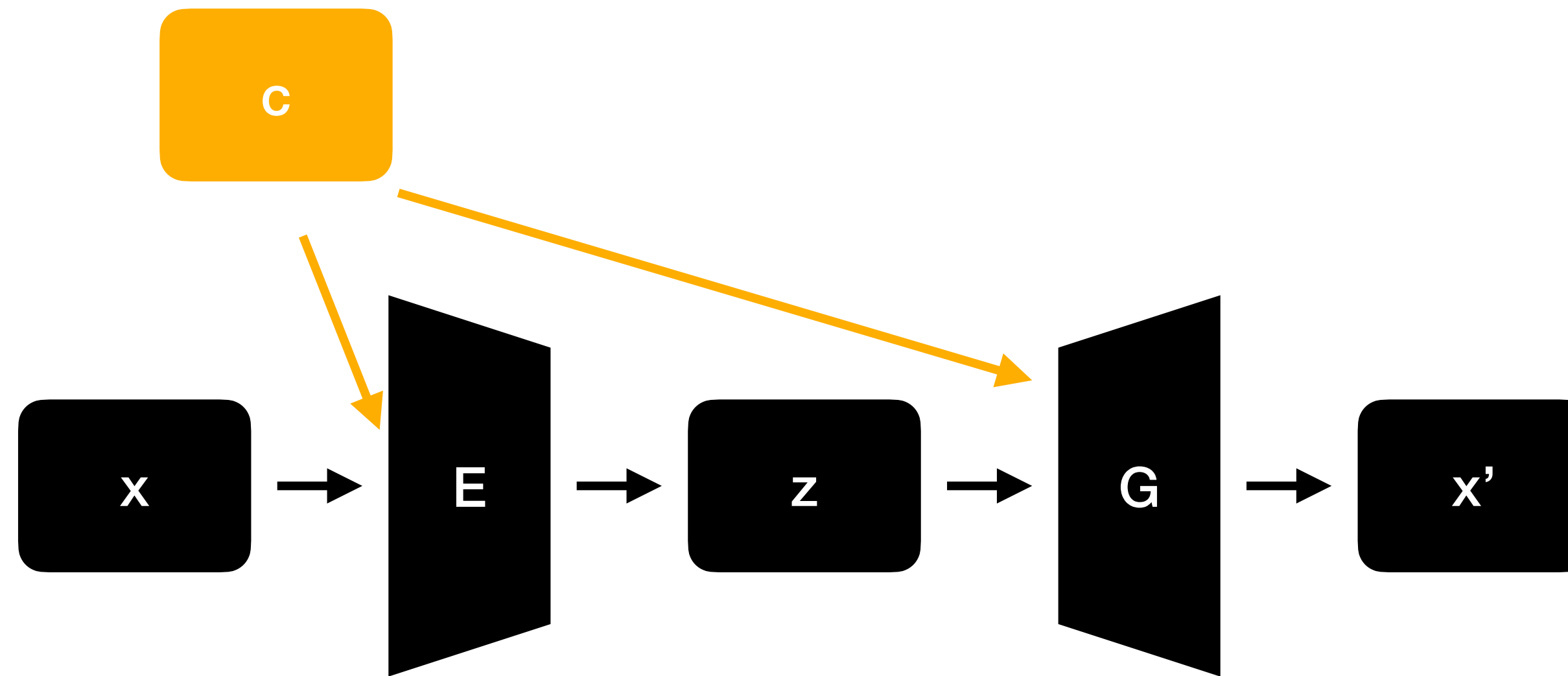
$$\mathcal{L}_{CVAE} = E_{z \sim Q(x,c)}[\log P(x | z, c)] - \mathcal{D}[Q(z | x, c) || P(z | c)]$$

$$\mathcal{L}_{kgCVAE} = E_{z \sim Q(x,c,y)}[\log P(x | z, c, y)] - \mathcal{D}[Q(z | x, c, y) || P(z | c)] + \mathbf{E}_{z \sim Q(x,c,y)}[\log p(y | z, c)]$$

# Knowledge-Guided CVAE (kgCVAE): Inference



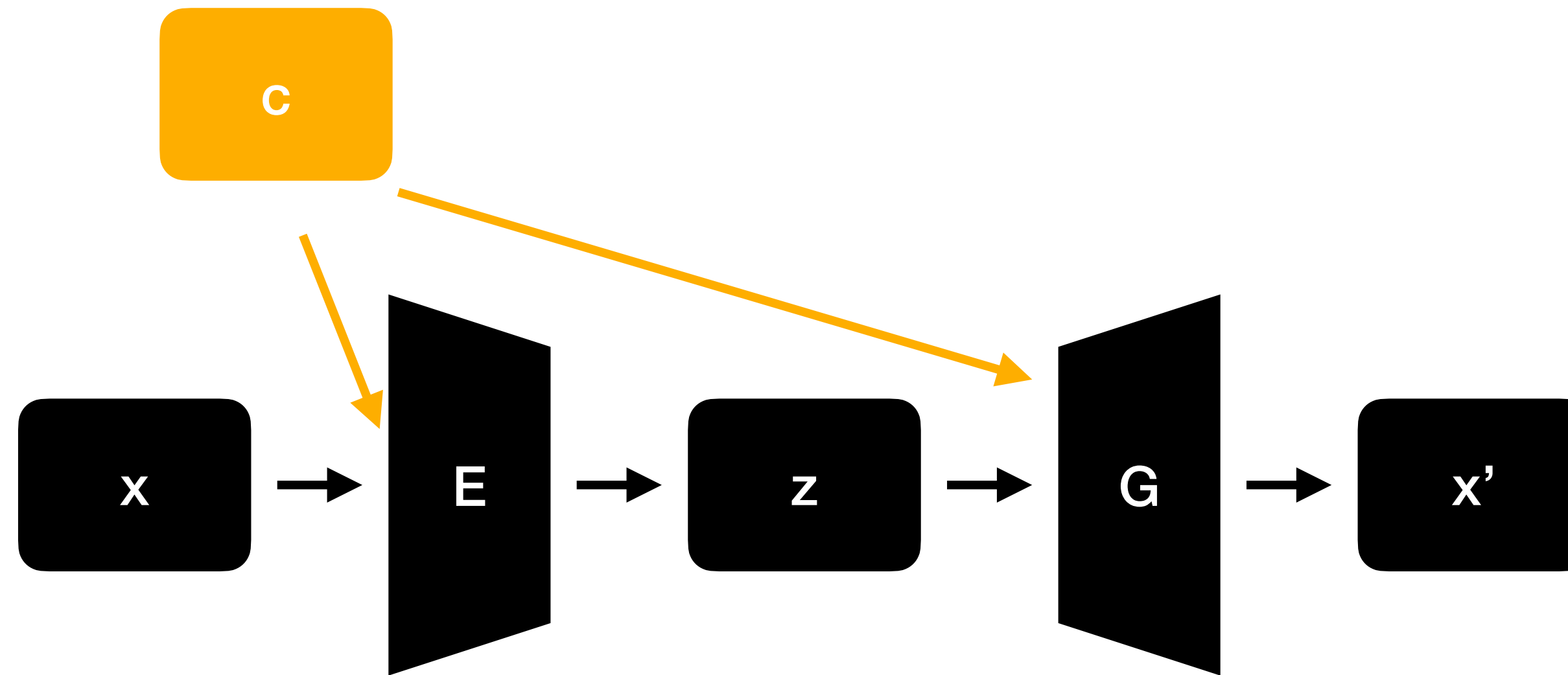
# Optimization Challenges: vanishing latent variable problem



$$\mathcal{L} = E_{z \sim Q(x,c)}[\log P(x | z, c)] - \mathcal{D}[Q(z | x, c) \| P(z | c)]$$

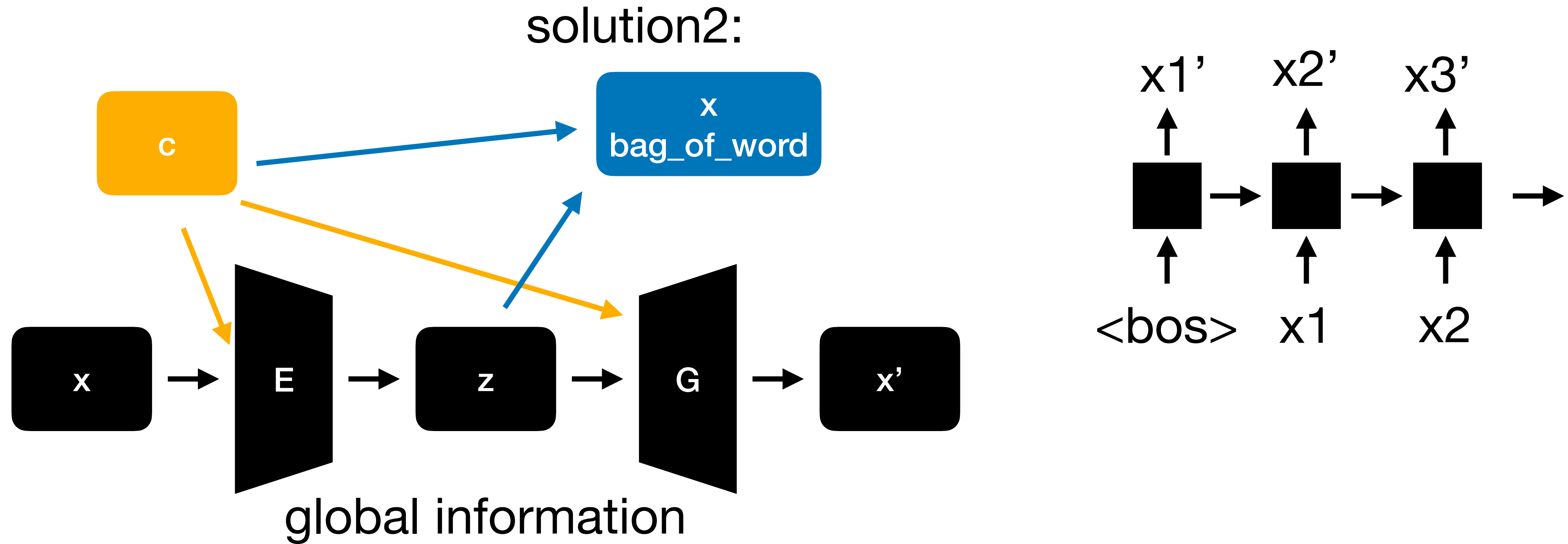
0

# Optimization Challenges: solution 1



$$\mathcal{L} = E_{z \sim Q(x, c)}[\log P(x | z, c)] - \lambda \mathcal{D}[Q(z | x, c) \| P(z | c)]$$

# Optimization Challenges: solution 2

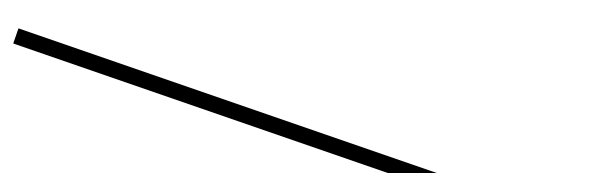



$$\mathcal{L} = E_{z \sim Q(x,c)}[\log P(x|z,c)] - \lambda \mathcal{D}[Q(z|x,c) \| P(z|c)] + \mathcal{L}_{bow}$$

# Experiments: diversity

*context*  $\rightarrow$  *golden* :  $(r_1, \dots, r_{M_c})$

*context*  $\rightarrow$  *predict* :  $(h_1, \dots, h_N)$

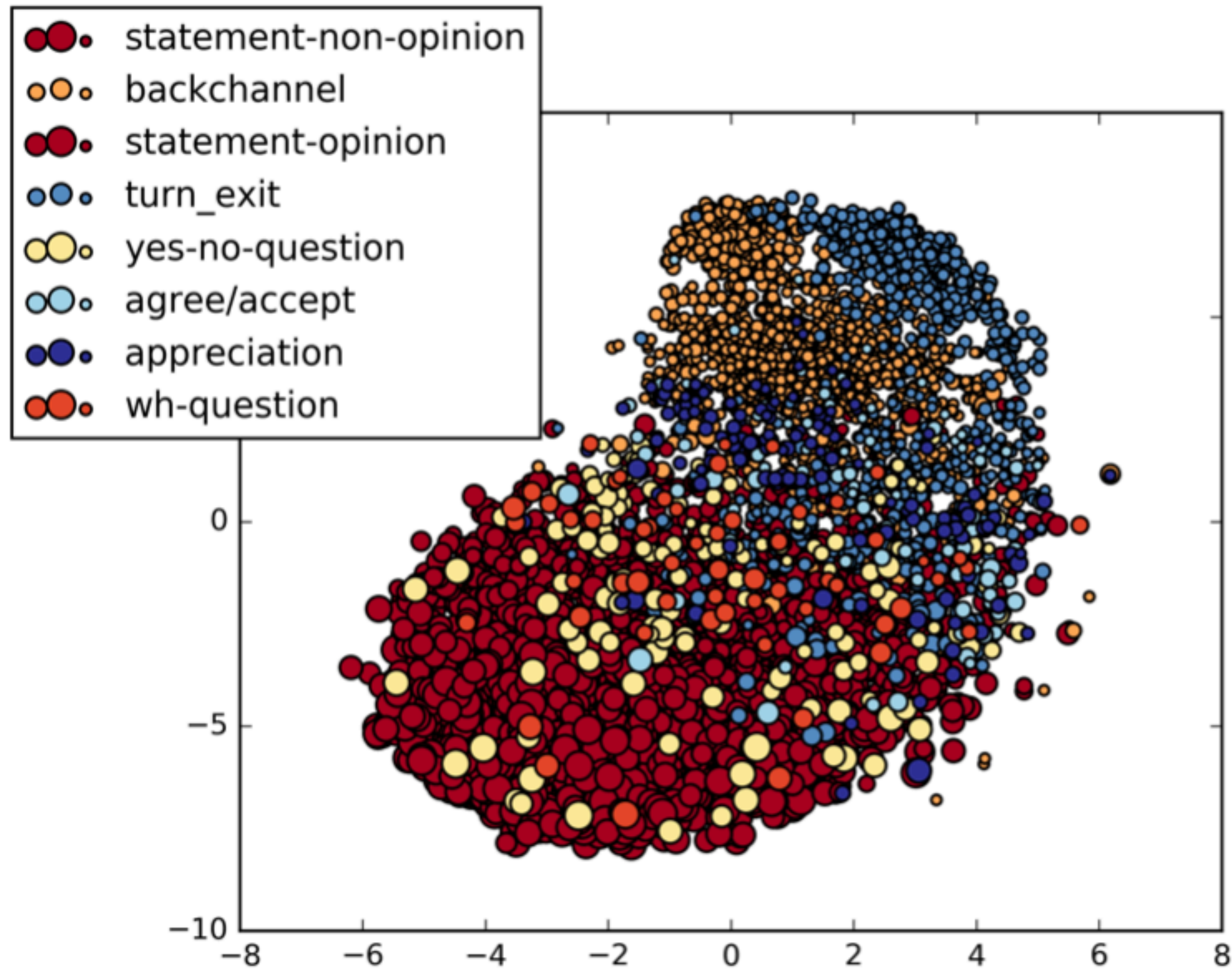
reference responses  generated responses 

$$\text{precision}(c) = \frac{\sum_{i=1}^N \max_{j \in [1, M_c]} d(r_j, h_i)}{N}$$

$$\text{recall}(c) = \frac{\sum_{j=1}^{M_c} \max_{i \in [1, N]} d(r_j, h_i)}{M_c}$$

Metrics	Baseline	CVAE	kgCVAE
perplexity (KL)	35.4	20.2	16.02
	(n/a)	(11.36)	(13.08)
BLEU-1 prec	0.405	0.372	<b>0.412</b>
BLEU-1 recall	0.336	0.381	<b>0.411</b>
BLEU-2 prec	0.300	0.295	<b>0.350</b>
BLEU-2 recall	0.281	0.322	<b>0.356</b>
BLEU-3 prec	0.272	0.265	<b>0.310</b>
BLEU-3 recall	0.254	0.292	<b>0.318</b>
BLEU-4 prec	0.226	0.223	<b>0.262</b>
BLEU-4 recall	0.215	0.248	<b>0.272</b>
A-bow prec	0.951	0.954	<b>0.961</b>
A-bow recall	0.935	0.943	<b>0.944</b>
E-bow prec	<b>0.827</b>	0.815	0.804
E-bow recall	0.801	<b>0.812</b>	0.807
DA prec	<b>0.736</b>	0.704	0.721
DA recall	0.514	<b>0.604</b>	0.598

# Experiments: z space



# Experiments: bow loss

Model	Perplexity	KL cost
Standard	122.0	0.05
KLA	111.5	2.02
BOW	97.72	7.41
BOW+KLA	73.04	15.94



**QA**